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ATTORNEYS AT LAW

JAN 19 2006

**Fax**

Date: January 19, 2006

To: U.S. Patent and Trademark Office Fax #: (571) 273-8300 Confirm#: 3230

Client Matter#: 25315-2801 (TRA-2801)

From: Michael DiVerdi Sender's Number: 617-832-1753

Total Pages Sent (Including Cover Sheet): 10 Office: Boston

**Message**

Re: U.S. Patent Application No.: 10/500,977  
Title: Efficient Liposomal Encapsulation Under Mild Conditions  
Inventor: Alla Polozova, et al.  
Filing Date: September 12, 2005  
Our ref: TRA-028.01 (25315-2801)

Transmitted herewith are the following documents:

Request to Correct Official Filing Receipt (2 pages);  
Executed Powers of Attorney (3 pages);  
Marked-up Copy of Filing Receipt (3 pages);  
Notification of Change of Attorney Docket Number (1 page); and  
This fax cover sheet.

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IF THERE ARE ANY PROBLEMS WITH THIS TRANSMISSION PLEASE TELEPHONE THE SENDER.

BOSTON / 155 Seaport Boulevard / Boston, Massachusetts 02210-2800 / TEL: 617.832.1000 / FAX: 617.832.7000  
WASHINGTON, DC / 1747 Pennsylvania Ave., NW / Suite 1200 / Washington, DC 20006-4604 / TEL: 202.223.1200 / FAX: 202.785.6687  
Foley Hoag LLP [www.foleyhoag.com](http://www.foleyhoag.com)

PAGE 1/10 \* RCVD AT 1/19/2006 5:32:48 PM [Eastern Standard Time] \* SVR:USPTO-EFXXF-6/25 \* DNIS:2738300 \* CSID:617 832 7000 \* DURATION (mm-ss):02-44

JAN 19 2006

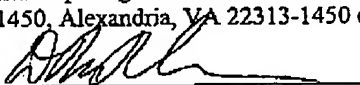
PATENTS  
Attorney Docket No. TRA-028.01

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)	
A. Polozova et al.	)	
Application No: 10/500,977	)	Art Unit: 1615
Filed: September 12, 2005	)	Confirmation No.: 3230
For: Efficient Liposomal	)	Examiner: Not Yet Assigned
Encapsulation Under Mild	)	
Conditions	)	

**CERTIFICATE OF MAILING/TRANSMISSION**

I hereby certify that the correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on January 19, 2006.

  
David Narkunas

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**REQUEST FOR UPDATED OFFICIAL FILING RECEIPT**

Applicants respectfully request an updated filing receipt. Please find attached the following:

- 1) Executed Powers of Attorney to Foley Hoag LLP filed September 9, 2005; and a
- 2) Marked-up copy of the Filing Receipt.

Please correct the Filing Receipt for the above-captioned application so that it reflects the Power of Attorney and correspondence address associated with Customer Number 25181.

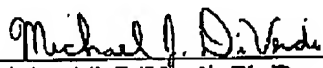
PATENTS  
Attorney Docket No. TRA-028.01

Additionally, applicants submit herewith a Notification of Change of Attorney Docket Number as well as a marked-up copy of the Filing Receipt showing the requested changes. Accordingly, please forward a corrected filing receipt to the undersigned.

No fees are believed to be due in connection with this submission. However, the Commissioner is authorized to charge any deficiencies or credit any overpayment to/from our Deposit Account, No. 06-1448, Reference TRA-028.01.

Respectfully submitted,

Date: January 19, 2006  
**Customer No: 25181**  
Patent Group  
Foley Hoag, LLP  
155 Seaport Blvd.  
Boston, MA 02210-2600

  
\_\_\_\_\_  
Michael J. DiVerdi, Ph.D.  
Reg. No. 51,620  
Agent for Applicant  
Tel. No. (617) 832-1753  
Fax. No. (617) 832-7000

JAN 19 2006

PTO/SB/81 (11-04)

Approved for use through 11/30/2005, OMB 0651-0035  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**POWER OF ATTORNEY  
and  
CORRESPONDENCE ADDRESS  
INDICATION FORM**

<b>Application Number</b>	10/500,977
<b>Filing Date</b>	July 8, 2004
<b>First Named Inventor</b>	Ala Polozova
<b>Title</b>	Efficient Liposomal Encapsulation Under Mild Conditions
<b>Art Unit</b>	Not Yet Known
<b>Examiner Name</b>	Not Yet Known
<b>Attorney Docket Number</b>	TRA-028.01

**I hereby revoke all previous powers of attorney given in the above-identified application.****I hereby appoint:**☒ Practitioners associated with the Customer Number:

25181

**OR**☐ Practitioner(s) named below:

Name	Registration Number

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please recognize or change the correspondence address for the above-identified application to:

☒ The address associated with the above-mentioned Customer Number**OR**☐ The address associated with Customer Number:**OR**☐ Firm or  
Individual Name

Address

City

State

ZIP

Country

Telephone

Fax

**I am the:**☒ Applicant/Inventor.☐ Assignee of record of the entire interest. See 37 CFR 3.71.

Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

**SIGNATURE of Applicant or Assignee of Record**

Signature

Date

Name

Xingong Li

Telephone

732-438-9434-609-851-0095

Title and Company

Sr. Scientist. Transave Inc.

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☒ Total of 1 forms are submitted.

This collection of information is required by 37 CFR 1.31 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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PTO/SB/01 (11-04)

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**POWER OF ATTORNEY  
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INDICATION FORM**

<b>Application Number</b>	10/500,977
<b>Filing Date</b>	July 8, 2004
<b>First Named Inventor</b>	Alta Polozova
<b>Title</b>	Efficient Liposomal Encapsulation Under Mild Conditions
<b>Art Unit</b>	Not Yet Known
<b>Examiner Name</b>	Not Yet Known
<b>Attorney Docket Number</b>	TRA-028.01

**I hereby revoke all previous powers of attorney given in the above-identified application.****I hereby appoint:**☒ Practitioners associated with the Customer Number:  
OR

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I am the:

☒ Applicant/Inventor.☐ Assignee of record of the entire interest. See 37 CFR 3.71.

Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

**SIGNATURE of Applicant or Assignee of Record**

<b>Signature</b>	<i>Walter R. Perkins</i>	<b>Date</b>	<i>Aug 12, 2005</i>
<b>Name</b>	Walter R. Perkins	<b>Telephone</b>	<i>609-818-1211</i>
<b>Title and Company</b>	<i>Vice President Research and Development, Transave</i>		

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☒ \*Total of 1 forms are submitted.

This collection of information is required by 37 CFR 1.31 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/81 (11-04)

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**POWER OF ATTORNEY  
and  
CORRESPONDENCE ADDRESS  
INDICATION FORM**

<b>Application Number</b>	10/500,977
<b>Filing Date</b>	July 8, 2004
<b>First Named Inventor</b>	Alla Polozova
<b>Title</b>	Efficient Liposomal Encapsulation Under Mild Conditions
<b>Art Unit</b>	Not Yet Known
<b>Examiner Name</b>	Not Yet Known
<b>Attorney Docket Number</b>	TRA-028.01

I hereby revoke all previous powers of attorney given in the above-identified application.

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☐ Firm or  
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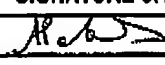
I am the:

☒ Applicant/Inventor.

☐ Assignee of record of the entire interest. See 37 CFR 3.71.

Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

**SIGNATURE of Applicant or Assignee of Record**

<b>Signature</b>		<b>Date</b>	08/29/2005
<b>Name</b>	Alla Polozova	<b>Telephone</b>	
<b>Title and Company</b>			

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☒ \*Total of 1 forms are submitted.

This collection of information is required by 37 CFR 1.31 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/500,977	09/12/2005	1615	2728	033388-688 TRA-028.01		154	4

CONFIRMATION NO. 3230

## FILING RECEIPT



\*OC000000017498584\*

~~21839~~  
BUCHANAN INGERSOLL PC  
(INCLUDING BURNS, DOANE, SWECKER & MATHIS)  
POST OFFICE BOX 1404  
ALEXANDRIA, VA 22313-1404

25181  
Foley Hoag LLP  
155 Seaport Boulevard  
Boston, MA 02210

Date Mailed: 12/02/2005

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Alla Polozova, Cranbury, NJ;  
Xingong Li, Cranbury, NJ;  
Walter R Perkins, Pennington, NJ;

## Assignment For Published Patent Application

~~Elan Pharmaceuticals, Inc., South San Francisco, CANADA~~  
~~Transave, Inc., Monmouth Junction, NJ~~

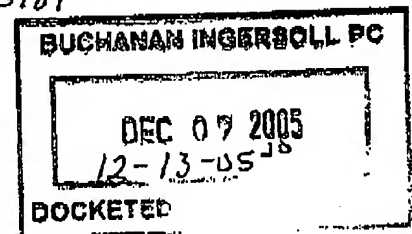
Power of Attorney: The patent practitioners associated with Customer Number ~~21839~~

25181

## Domestic Priority data as claimed by applicant

This application is a 371 of PCT/US03/00373 01/08/2003  
which claims benefit of 60/346,285 01/09/2002  
and claims benefit of 60/346,285 01/09/2002

## Foreign Applications



Projected Publication Date: To Be Determined - pending completion of Security Review

DEC 13 2005

Non-Publication Request: No

Early Publication Request: No

**Title**

Efficient liposomal encapsulation under mild conditions

**Preliminary Class**

424

**PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES**

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process simplifies the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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#### **NOT GRANTED**

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JAN 19 2006

Attorney Docket No. 033388-588

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

A. Polozova et al.

Application No: 10/500,977

Filed: September 12, 2005

For: Efficient Liposomal  
Encapsulation Under Mild  
Conditions

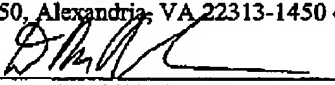
Art Unit: 1615

Confirmation No.: 3230

Examiner: Not Yet Assigned

**CERTIFICATE OF MAILING/TRANSMISSION**

I hereby certify that the correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on January 19, 2006.

  
David Narkunas**NOTIFICATION OF CHANGE OF ATTORNEY DOCKET NUMBER**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:


The Attorney Docket Number of the above-identified patent application has changed.  
Please take notice that the *correct* Attorney Docket Number for this application should now be as follows:

TRA-028.01

Please reference TRA-028.01 on all future correspondence to the principal attorney of record.

Respectfully submitted,

Date: January 19, 2006  
Customer No: 25181  
Patent Group  
Foley Hoag, LLP  
155 Seaport Blvd.  
Boston, MA 02210-2600

  
Michael J. DiVerdi, Ph.D.  
Reg. No. 51,620  
Agent for Applicant  
Tel. No. (617) 832-1753  
Fax. No. (617) 832-7000

- 1 -